University of Dundee - Guide to Information available through the Model Publication Scheme 2017

Change control

Change	Date
Adoption	2013
Revised following University restructure	February 2016
Revised following changes to University website	December 2016
Revised following publication of OSIC Model Publication Scheme 2017	October 2017
Annual review and update	December 2018

1. Introduction to the Guide to Information

The Freedom of Information (Scotland) Act 2002 (FOISA) requires that all Scottish public authorities make certain types of information routinely available, and provide a guide to information telling the public how to access the information and what it might cost.

This Guide to Information also contains details of the environmental information that we routinely make available under the Environmental Information (Scotland) Regulations 2004 (the EI(S)Rs).

The University of Dundee has adopted the Model Publication Scheme 2017 produced by the Scottish Information Commissioner, who is responsible for enforcing FOISA.

You can see this scheme on the Commissioner's website at

http://itspublicknowledge.info/ScottishPublicAuthorities/PublicationSchemes/TheModelPublicationScheme.aspx or by contacting us at the address below.

We have made a commitment to publish all information which we hold which falls within the classes of information in the scheme. This Guide to Information describes the information which we publish in accordance with the scheme, and how you can access this information.

We are obliged by FOISA to have due regard to the public interest in providing access to the information that we hold which relates to:

- the services we provide;
- the costs of those services;
- the standard of those services;
- the facts that inform the important decisions we take; and
- the reasoning that informs our decisions.

This Guide to Information was prepared by the Scottish Higher Education Information Practitioners Group (SHEIP), under the auspices of Universities Scotland's Secretaries' Group. SHEIP consulted the public authorities to be covered by the Guide, to assess what information should be included in the Guide, taking account of reviews of information requests, and assessments of previous publication scheme feedback.

2. Accessing information under the scheme

Our Guide to Information provides more details of the information available under the scheme, along with additional guidance on how the information falling within each "class" may be accessed.

Online:

Most information listed in our Guide to Information is available on our website. In many cases a link within Section 8 - Categories of information below will direct you to the relevant page or document. Where no such link is present, you can find this information using our website's "Search" facility on the top right of the University's home page - https://www.dundee.ac.uk/. You can also find extensive information about the University by following the links on these pages - https://www.dundee.ac.uk/main/services/ and https:/

By email:

If the information you seek is not published on our website, we can send it to you by email, wherever possible. Please email freedomofinformation@dundee.ac.uk.

By phone:

Information provided through the scheme can also be requested from us over the telephone. Please call +44 (0)1382 384441 to request information available under this scheme.

By post:

Information under the scheme may also be available in paper copy form. Please address your request to: Alan Bell, Head of Information Governance, University of Dundee, Dundee DD1 4HN

When writing to us to request information, please include your name and address, full details of the information or documents you would like to receive, and any fee applicable (see **Section 5: Our charging policy** for further information on fees). Please also include a telephone number so we can telephone you to clarify any details, if necessary.

By inspection at our premises:

Some of the information that we publish in accordance with the scheme may be available for inspection on site. Please contact us using the address above to arrange a visit to the University.

3. Information that we may withhold

Our aim in maintaining this Guide is to be as open as possible. All information covered by the publication scheme can either be accessed through our website, or will be provided promptly following our receipt of your request.

If a document contains information that the University may legitimately wish to exempt from disclosure under an appropriate section of Scotland's freedom of information laws, (for example sensitive personal information or a trade secret), we may remove or redact the information before publication but we will inform you that we have done so and provide an explanation of why the information is withheld. The Scottish Information Commissioner's guidance on information that may be exempt from publication

is available here: http://www.itspublicknowledge.info/Law/FOISA-EIRsGuidance/Briefings.asp#exemptions

If you wish to complain about any information which has been withheld from you, please refer to Section 7 – Contact us.

4. Our charging policy

Wherever possible, information contained within our Guide is available from us free of charge where it can be downloaded from our website or where it can be sent to you electronically by email.

We reserve the right to impose charges for providing information in paper copy or on computer disc. Charges will reflect the actual costs of reproduction and postage to the authority, as set out below.

In the event that a charge is to be levied, you will be advised of the charge and how it has been calculated. Information will not be provided to you until payment has been received.

Reproduction costs:

Where charges are applied, photocopied information will be charged at a standard rate of 10p per A4 side of paper (black and white copy) and 30p per A4 side of paper (colour copy).

Computer discs will be charged at the rate of £1.00 per CD-Rom.

Postage cost:

We will pass on postage charges to the requester at the cost to the authority of sending the information by first class post.

There are also a small number of publications for which the University of Dundee makes a charge. These publications will be charged at the cover price, plus actual postage costs, as charged by the Royal Mail. Details of any individual charges which differ from the above charging policy are provided below.

Exami	ple	charges	for	infor	mation	not	covered	bv t	the cha	rging	policy	are	as f	ollov	vs:
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Training, Consultancy, Seminar provision etc:

Where pre-prepared training materials are requested charges will be made at applicable commercial rates.

Publications:

The price of University publications will be determined by market rates.

Pro Forma:

The cost will mirror the standard charging policy.

Archival Collections:

Charges for value added services are listed at - http://www.dundee.ac.uk/archives/visitus/

Library and Learning Centre:

Charges for value added services are listed at - http://www.dundee.ac.uk/library/services/

Satellite Receiving Station:

The price of information captured by this station will be determined by market rates.

5. Our copyright policy

Where the University of Dundee holds the copyright in its published information, the information may be copied or reproduced without formal permission, provided that:

- it is copied or reproduced accurately
- it is not used in a misleading context, and

the source of the material is identified

Where the University does not hold the copyright in information we publish, we will make this clear.

The publication scheme may, however, contain information where the copyright holder is not the University of Dundee. In most cases the copyright holder will be obvious from the documents. In cases where the copyright is unclear, however, it is the responsibility of the person accessing the information to locate and seek the permission of the copyright holder before reproducing the material or in any other way breaching the rights of the copyright holder. Wherever possible, this Guide will indicate where we do not own the copyright on documents.

Information about Crown copyright material is available on the website of the Queen's Printer for Scotland at www.oqps.gov.uk. We can provide you with a copy of this information if you do not have internet access.

6. How to access information not available under this scheme and information available under the Re-Use of Public Sector Information Regulations 2015

If the information you are seeking is not available under this publication scheme, then you may wish to request it from us.

Information on compliance with information legislation at the University of Dundee is available from https://www.dundee.ac.uk/information-governance/. In the first instance, please send your enquiry to freedomofinformation@dundee.ac.uk.

The University Library is subject to the Re-Use of Public Sector Information Regulations 2015. For information on how to license information for re-use, please see https://www.dundee.ac.uk/library/contactus/policies/.

7. Contact us

You can contact us for assistance with any aspect of this guide to information. We will be pleased to hear your comments and suggestions, work to resolve any complaints, or advise you on how to ask for information that we do not routinely publish. Please contact:

Alan Bell, Head of Information Governance, University of Dundee, Dundee DD1 4HN or email freedomofinformation@dundee.ac.uk.

8. Categories of Information

8.1 General Information

Introduction

This category covers general information about how to make contact with the institution. It includes information about how to complain about the institution, and how to serve formal documents on it. It is aimed at providing very general information for the public. More detailed information will be provided in other categories.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Name and address	The name of the		University of Dundee,		
	institution, and the		Dundee, DD1 4HN		
	address of its principal				
	office				
Principal officers	Names of the principal	Principal, Vice Principals,	See		
	officers of the institution	Deans, Secretary,	http://www.dundee.ac.uk		
		Directors of major	/about/principals-		
		administrative functions .	office/people-		
		Roles and responsibilities	vision/executive-group/		
		of senior officers.			
		Responsibilities of and			
		(work related)			
		biographical details of the			
		people who make			
		strategic and operational			
		decisions about the			
		performance of function			
		and/or delivery of			

		services		
Contact information	Information on how to	Information on initial	See	
	contact the institution	point(s) of contact	http://www.dundee.ac.uk	
		covering areas likely to be	/contact/	
		of interest to enquirers		
		e.g. admissions		
Location	Information on the		See	
	institution's principal and		http://www.dundee.ac.uk	
	other main locations,		<u>/travel/</u>	
	including campus maps			
Opening hours	Opening hours of the		Normal office hours are	
	institution's principal		08:45-17:00. Some areas	
	office(s)		of the University, such as	
			the Library, are open	
			outwith these times.	
			Please see the webpage	
			of the relevant section of	
			the University.	
			Academic Schools	
			http://www.dundee.ac.uk	
			/main/schools/	
			Professional Services	
			http://www.dundee.ac.uk	
			/main/services/	

Academic year dates	Information on the dates	Dates for the current	See	
	of the institution's	academic year as well as	http://www.dundee.ac.uk	
	academic years	future academic years, as	/governance/academic-	
		far as known	<u>calendar/</u>	
Holidays	Dates of closure of the	Many institutions are	See	
	institution	completely closed	http://www.dundee.ac.uk	
		between Christmas and	/governance/academic-	
		New Year	calendar/	
Complaints	Procedures on how to	Details will very probably	See	
	complain about the	vary according to the	http://www.dundee.ac.uk	
	institution	nature of the	/governance/dca/	
		complaint/complainant.		
Document serving	Contact details for serving		Dr J McGeorge, Secretary	
	legal documents on the		of the University,	
	institution, e.g. Court		University of Dundee,	
	Orders		Dundee DD1 4HN	

8.2 Access to Information and Records Management Policies

Introduction

This category tells people how to request information from the institution, both under the Freedom of Information (Scotland) Act and the Data Protection Act. It also covers institutional procedures for these pieces of legislation.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
How to make a request	Details of how to request	Name, address and	In the first instance please		
for information and	information from the	contact information of	email		

freedom of information	institution under the	the Institution's main	freedomofinformation@d	
enquiries contact	Freedom of Information	contact point for	<u>undee.ac.uk</u>	
	(Scotland) Act, the	requests. (Where larger	For information on the	
	General Data Protection	institutions operate	University's policies and	
	Regulation, and the	decentralised	procedures please see	
	Environmental	arrangements	https://www.dundee.ac.u	
	Information (Scotland)	appropriate information	k/information-	
	Regulations.	on other major points of	governance/	
	Central contact point for	contact should be	For more information or	
	Freedom of Information	provided.)	to discuss a request	
	enquiries		please contact Alan Bell,	
			Head of Information	
			Governance, University of	
			Dundee, Dundee DD1	
			4HN or telephone +44	
			(0)1382 384441	
Information legislation	Institutional policies and	Include information on	See	
policies	procedures on Freedom	requesting reviews or	https://www.dundee.ac.u	
	of Information, Data	making complaints, and	k/information-	
	Protection and	procedures for dealing	governance/	
	Environmental	with subject access		
	Information	requests		
		Charging schedule for		
		environmental		
		information provided in		

		response to requests under EI(S)R 2004, if the authority charges for environmental information		
Records Management and	Policies and guidance	Include details of polices	See	
archiving policy	relating to our records	procedures and guidance	https://www.dundee.ac.u	
	management, records and	relating to records	k/information-	
	information retention and	management, records	governance/ and	
	archiving policies	and information retention	http://www.dundee.ac.uk	
		and archiving.	<u>/archives/</u> (for specific	
		compliance with the data	information on Archive	
		protection act and	Services)	
		freedom of information		
		act,		

8.3 Governance

Introduction

This category covers information relating to the way the institution is governed and how decisions are made. It includes information on the legal status of the institution, which individual member of staff or group within the organisation is responsible for specific functions and where they fit in the overall structure of the organisation.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information

Legal Framework	Information on how the	Institutional charter	See the University	
	institution was	Institutional status under	Charter, Statutes and	
	established and its	the Universities (Scotland)	Ordinances -	
	standing from a legal	Acts or other piece(s) of	http://www.dundee.ac.uk	
	perspective	primary or secondary	/governance/governance/	
		legislation		
Governance Structure	The institution's	Description of Statutory	See the information	
	governance structures	Bodies (e.g. Court,	linked here -	
	and related operational	Senate, General Council).	http://www.dundee.ac.uk	
	procedures	Arrangements for	/governance/	
		appointment to statutory		
		bodies. (In many cases it		
		will be appropriate to list		
		the names of people who		
		are members of the		
		above as they are matters		
		of public interest.)		
		Standing Orders or other		
		similar documents		
		describing operational		
		procedures		

Governance precepts	The institution's	Arrangements for	Governance oversight is	
	arrangements for	compliance with SFC ¹	via University Court and	
	compliance with good	Requirements and CUC ²	its committees -	
	governance precepts	guidance (Detailed	http://www.dundee.ac.uk	
		arrangements may be	/governance/governance/	
		covered by exemptions	court/	
		under the Act).	Reports of committees	
		Arrangements for internal	are considered by	
		audit.	University Court and are	
		In the case of internal	normally available here	
		audits which are	one meeting in arrears (ie	
		contracted out,	following approval) -	
		information about the	http://www.dundee.ac.uk	
		organisation carrying out	/governance/governance/	
		the audit and its remit.	court/court-agendas-	
			minutes/	
			The Academic and	
			Corporate Governance	
			Directorate manages the	
			Governance functions of	
			the University -	
			http://www.dundee.ac.uk	
			/governance/	

¹ SFC - Scottish Funding Council (http://www.sfc.ac.uk/)
² CUC – Committee of University <u>Chairs</u> (http://www2.bcu.ac.uk/cuc)

Conflict of interests policy	The institution's conflict	Information on the	See	
	of interests policies	circumstances in which	http://www.dundee.ac.uk	
		members of the	/media/dundeewebsite/p	
		governing body, senior	gla/documents/policies/c	
		managers and other	onflictofinterest.rtf	
		members of staff are		
		expected to declare		
		potential conflicts of		
		interest.		
		Codes of conduct		
		governing conflict of		
		interest issues		
Register of interests	Institutional register of	Register of interests for	See the biographies linked	
	interests	members of the	here -	
		governing body, senior	http://www.dundee.ac.uk	
		management, and any	/governance/governance/	
		other bodies or offices	court/members/	
		covered by the		
		institution's conflict of		
		interest policies.		

Institutional structure	A description of the	Organisational structure	See	
	institution's major	charts	http://www.dundee.ac.uk	
	organisational units and	Description of broad	/about/	
	how these relate to each	responsibilities/ activities		
	other	of major organisational		
		units (including all		
		schools/ academic		
		departments).		
		Information on relevant		
		senior managerial staff in		
		major organisational		
		units.		
		Contact information for		
		major organisational		
		units.		
		1		<u> </u>

Major committees	The activities of major	Committee memberships	See	
	committees with	and remits	http://www.dundee.ac.uk	
	devolved decision-making	Committee appointments	/governance/governance/	
	powers	procedures	court/ and	
		Standing orders, codes of	http://www.dundee.ac.uk	
		conduct and other papers	/governance/governance/	
		describing operations of	senate/	
		major committees		
		Minutes and papers of		
		meetings of statutory		
		bodies and other major		
		committees		

Relationship with the	The legal and structural	Agreements, protocols,	The University of Dundee	
General Council	basis of the institution's	etc governing the	does not have a General	
	relationship with its	institution's relationship	Council. For information	
	General Council (or	with the General Council	on the Graduates'	
	similar statutory bodies	or similar bodies.	Association please see	
	representing its	Details of General	http://www.dundee.ac.uk	
	graduates)	Council's representation	/governance/governance/	
		on institutional	graduates-association/	
		committees etc, and any		
		institutional		
		representation on		
		General Council Union		
		bodies or committees.		
		Funding provided to the		
		General Council.		
		General Councils exist		
		only in those institutions		
		governed by the		
		Universities (Scotland)		
		Acts.		

General Council	Information on the	Constitution, Code of	The University of Dundee	
255.41 25411011		•	does not have a General	
	operation and activities of	Practice, List of Officers		
	the General Council	and any other related	Council. For information	
		documents about the	on the Graduates'	
		General Council or similar	Association please see	
		statutory body.	http://www.dundee.ac.uk	
			/governance/governance/	
			graduates-association/	
Subsidiary companies	Information on the	Companies which are	For the publication	
	names, addresses, broad	wholly-owned by a	schemes of the	
	functions and purposes of	Scottish Public Authority	University's wholly-	
	companies where the	are themselves covered	owned subsidiary	
	institution is a majority	by the Act. Institutions	companies please see	
	shareholder	should provide links to	https://www.dundee.ac.u	
		such companies' own	k/information-	
		publication schemes.	governance/freedomofinf	
		(Information on other	ormation/publicationsche	
		significant shareholdings	me/	
		is provided in the		
		Investments category in		
		the Financial Resources		
		category.)		

Honorary degrees	Policies, procedures and	List of recent and	See	
	awards of honorary	forthcoming honorary	http://www.dundee.ac.uk	
	degrees	degree awards	/governance/policies/hon	
			orary-degrees-criteria/,	
			http://www.dundee.ac.uk	
			/media/dundeewebsite/p	
			gla/documents/policies/H	
			onorary.rtf and	
			http://www.dundee.ac.uk	
			/governance/university-	
			calendar/honorary-	
			degrees/	

8.4 Financial Resources

Introduction

This category covers information on the institution's strategy and management of financial resources. The finance department provides accounting, procurement and contracting services, helping to make best use of resources and fulfilling statutory responsibilities.

Category Name	Category Description	Examples/	Format of information	Fee	Withheld information
		Comments			
Financial statements	The institution's annual	Typically the financial	See		
	accounts	statements approved by	http://www.dundee.ac.uk		
		the governing body	/finance/procedures/fina		
			ncial_statements.htm		

Budgetary processes	Policies and procedures		See	
	for making budgetary		http://www.dundee.ac.uk	
	allocations to major		/governance/strategic-	
	budgetary units		planning/ and	
			https://www.dundee.ac.u	
			k/finance/our-	
			services/management-	
			accounting/	
Budgets overview	Summary of overall	Finalised budgetary	See	
	budget and budgetary	allocations	https://www.dundee.ac.u	
	allocations to major		k/finance/our-	
	budgetary units		services/management-	
			accounting/	
Financial regulations	Institution's financial	Typically this would cover	See	
	administration manual	information on	http://www.dundee.ac.uk	
		purchasing goods and	/finance/	
		services, and on		
		reclaiming expenses		
Insurance	Summary information on	Names and addresses of	See	
	the institution's major	the University's insurers,	https://www.dundee.ac.u	
	insurance policies	and broad information on	k/finance/our-	
		the range of risks	services/insurance/	
		covered.		

Senior staff remuneration	Principal's remuneration	See information in the
	and statistical information	statements linked at
	on remuneration of other	http://www.dundee.ac.uk
	senior staff required to be	/finance/procedures/fina
	published under the SFC	ncial statements.htm
	Financial Memorandum	Information concerning
		the reimbursement of out
		of pocket expenses
		incurred by members of
		the Senior Management
		Team is available at
		http://www.dundee.ac.uk
		/about/principals-
		office/reports/
Investments	Summary information on	See
	institutional endowments	https://www.dundee.ac.u
	and investments	k/finance/our-
		services/management-
		accounting/

8.5 Corporate Planning

Introduction

This category provides information on the institution's mission and major strategic plans.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Mission	Institution's Mission		See		
	statement		http://www.dundee.ac.uk		
			/transform/		
Corporate plan	Institution's corporate or		See		
	Strategic Plan		https://www.dundee.ac.u		
			k/strategy/		
Strategies	Major institutional	Estate strategy, Human	For the Estate Strategy		
	strategy documents	Resources strategy	see		
			http://www.dundee.ac.uk		
			/estates/management/an		
			nualreport/		
			The Human Resources		
			Strategy is linked via		
			http://www.dundee.ac.uk		
			/hr/policiesprocedures/st		
			rategyhrsupportingstrateg		
			<u>y2012-17/</u>		
			For local strategic		
			documents please see the		
			relevant section of the		
			University -		
			http://www.dundee.ac.uk		
			/about/		

Performance indicators	Indicators used by the	See	
	governing body and	https://www.dundee.ac.u	
	senior management to	k/strategy/	
	measure overall		
	institutional performance		
Planning procedures	Internal procedures for	See	
	planning and resource	https://www.dundee.ac.u	
	allocation	k/finance/our-	
		services/management-	
		accounting/	

8.6 Procurement

Introduction

Collaborative procurements may be led by central bodies such as Procurement Scotland, APUC Limited, Excel, NHS National Procurement or Central Government Procurement Centre of Expertise. Information about the procurement services these organisations provide to the institution may be obtained direct from the organisations.

Information available via http://www.dundee.ac.uk/procurement/ includes information published in accordance with the Procurement Reform (Scotland) Act 2014, the Procurement (Scotland) Regulations 2016, the Public Contracts (Scotland) Regulations 2015, the University's public register of contracts and links to the Public Contracts Scotland website. For more detail on specific aspects of procurement at the University of Dundee, please see the table below.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Procurement policies	Institution's overall	This may be included in	See		
	strategy for procurement	the Institution's	http://www.dundee.ac.uk		
	of non-pay expenditure	procurement and	/procurement/		
	and policies for	purchasing manuals			

	compliance with legal			
	obligations on major			
	procurement exercises			
Procurement procedures	Institution's procurement	Information on thresholds	See	
	and purchasing manuals	at which particular	http://www.dundee.ac.uk	
		procurement policies are	/procurement/	
		normally used.		
		Information on standard		
		terms and conditions of		
		supply/service.		
Procurement contacts	Contact information for	Contact information for	See	
	procurement and	staff seeking advice on	http://www.dundee.ac.uk	
	purchasing information	procurement or	/procurement/	
		purchasing.		
		Contact information for		
		potential suppliers (e.g. in		
		particular commodity		
		areas).		
		Link to source of contact		
		information for		
		collaborative		
		procurements (eg further		
		links to websites of		
		central bodies)		

Tender documentation	EU-prescribed call for	Information which the	See	
	competition contract	institution is required to	http://www.dundee.ac.uk	
	notices, invitations to	publish in the EU Journal.	/procurement/	
	tender, and pre-	Invitations to tender and		
	qualification	pre-qualification		
	questionnaire	questionnaire		
	documentation for	documentation, and		
	significant procurements	information about		
		potential significant		
		procurement exercises		
Supplier contracts	EU-prescribed award	Goods or services covered	See	
	notices of major contracts	by the contract, name of	http://www.dundee.ac.uk	
	over EU thresholds	the supplier, period of the	/procurement/	
		contract (including any		
		extension options),		
		approximate value of the		
		contract, expected date		
		for re-tendering for the		
		contract		

8.7 Management of Research

Introduction

This category covers information relating to the institution's management and funding of its research activities; it does not include the actual results or data of research undertaken.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Research funding	Statistical information on	Amount of SFC Main	See		
	the major sources of the	Research Grant and other	https://www.dundee.ac.u		
	institution's research	formulaic/non-formulaic	k/finance/our-		
	funding	SFC grants for research.	services/management-		
		Statistical information	accounting/financial-		
		about funding from	statements/		
		Research Councils,			
		research charities and			
		other major bodies.			
Research quality	Results of external	Institutional performance	See		
	measurement of the	in the Research	https://www.dundee.ac.u		
	quality of the institution's	Excellence Framework	k/research/ and		
	research		https://www.dundee.ac.u		
			k/research/aboutourrese		
			arch/ref2014/		
Research strategies	Summary information on		See		
	institutional-level		https://www.dundee.ac.u		
	strategic plans for		k/research/		
	research				
Research management	A description of the		See		
structures	structures the institution		https://www.dundee.ac.u		
	uses to manage its		k/research/		

	research activity			
Research support	Institution's procedures	Arrangements for	See	
arrangements	for supporting research	supporting and processing	https://www.dundee.ac.u	
		research grant	k/research/	
		applications		
Research ethics	The institution's research	Institutional policies and	See	
	ethics policies and	codes of practice on good	https://www.dundee.ac.u	
	procedures	practice in research,	k/research/	
		ethical conduct and		
		avoidance of research		
		fraud.		
		Information on how the		
		institution investigates		
		allegations of research		
		malpractice.		

Research students	The institution's policies	Regulations governing	See	
policies and procedures	and procedures for	research postgraduate	https://www.dundee.ac.u	
	supervising and	study.	k/research/	
	examining research	Information on how		
	students	students can complain		
		about supervision		
		arrangements.		
		This category may also		
		cover material relating to		
		research components in		
		taught postgraduate		
		programmes.		

8.8 Commercialisation and Knowledge Transfer

Introduction

This category provides information about the institution's mechanisms for supporting the commercialisation of outputs from its research activities.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Support arrangements	A description of how the		See		
	institution supports		https://www.dundee.ac.u		
	commercialisation of the		k/main/business/research		
	knowledge generated by		andinnovationservices/		
	its research activities.				
Contact information	How to get information		See		

	about the institution's		https://www.dundee.ac.u	
	commercialisation		k/main/business/research	
	activities.		andinnovationservices/	
Commercialisation	Statistical information on	Amount of SFC	See	
funding	the major sources of the	Knowledge Transfer Grant	https://www.dundee.ac.u	
	institution's funding for	and any other	k/main/business/research	
	commercialisation and	formulaic/non-formulaic	andinnovationservices/	
	knowledge transfer.	SFC grants for	and	
		commercialisation and	http://www.dundee.ac.uk	
		knowledge transfer.	/finance/procedures/fina	
		Similar information about	ncial statements.htm	
		other major sources of		
		funding.		
Commercialisation	A description of	Incubator facilities,	See	
resources	institutional facilities and	science parks, etc.	https://www.dundee.ac.u	
	resources for supporting		k/main/business/research	
	commercialisation		and innovation services/	
	activity.			
Commercialisation	Statistical information	Statistical information	See	
outcomes	about the institution's	about patent applications	https://www.dundee.ac.u	
	commercialisation of its	and awards, licenses	k/main/business/casestud	
	research activities	granted, spinout and	ies/	
		start-up company		
		formation.		
		Institutions may wish to		

		provide more detailed information about particularly successful knowledge transfer activities		
Consultancy services	How to get information about the institution's consultancy services.		The University offers consultancy services in a variety of areas. Please contact the relevant section of the University to discuss potential opportunities - https://www.dundee.ac.u k/main/business/consultancyandservicework/	

8.9 Human Resources

Introduction

This category covers information on the institution's strategy and management of human resources, rather than information relating to individual members of staff (exempt from disclosure as personal information). The information available covers Personnel policies and procedures (including terms and conditions of service and all current versions of the information specified in each category).

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information

Staff profile	Statistical information on	Information at	See	
	staff	institutional level by	http://www.dundee.ac.uk	
		grade, sex: Cross-	/hr/equality/psed/	
		reference to Equality and		
		Diversity, category 12.		
Recruitment policies	Policies, statements,		See	
	procedures and guidelines		http://www.dundee.ac.uk	
	relating to recruitment		/hr/uodrecruitment/	
Employment terms	Generic terms and	Salary grades and scales.	See	
	conditions of employment	Other Human Resources	http://www.dundee.ac.uk	
		policies, not covered by	/hr/policiesprocedures/te	
		any other category.	rmsandconditions/ and	
			https://www.dundee.ac.u	
			k/finance/our-	
			services/payroll/	
Performance	Policies and procedures	Information on probation	See	
management	relating to performance	and appraisal	http://www.dundee.ac.uk	
	management	arrangements	/hr/policiesprocedures/o	
			bjectivesettingreview/obj	
			ectivesettingandreviewgui	
			dance/ and	
			http://www.dundee.ac.uk	
			/hr/policiesprocedures/pr	
			obationnonacademic/aca	
			drel(alc)/	
	1	1	l .	l

Promotion	Policies, statements,	Statistical information on	See	
	procedures, guidelines	outcomes	http://www.dundee.ac.uk	
	and statistics relating to		/hr/equality/psed/ and	
	promotion, regrading and		http://www.dundee.ac.uk	
	salary reviews		/hr/policiesprocedures/ar	
			acadres/	
Pensions	Policies and guidelines on	Contribution rates	See	
	pension arrangements for	(institutional and	https://www.dundee.ac.u	
	staff	individual)	k/finance/our-	
		Benefits and benefit	services/pensions/	
		accrual rates.		
		Funding valuations of		
		pension schemes		
Discipline	Disciplinary procedures	Harassment and bullying	See	
	and policies	policy.	https://www.dundee.ac.u	
		Other Human Resources	<u>k/hr/a-z/</u> (D)	
		policies, not covered by		
		any other category,		
		where disciplinary action		
		may follow if breached.		
Grievance	Grievance procedures and		See	
	policies		https://www.dundee.ac.u	
			<u>k/hr/a-z/</u> (G)	

Employee relations	Collective bargaining and	Agreements reached	See the information on	
	consultation procedures	under these procedures	Joint Committees -	
	with recognised Trades		http://www.dundee.ac.uk	
	Unions and Professional		/governance/governance/	
	Organisations and		court/ - and the minutes	
	agreements reached		of Court -	
			http://www.dundee.ac.uk	
			/governance/governance/	
			court/court-agendas-	
			minutes/	
Public interest disclosure	Information required for		See	
	compliance with the		https://www.dundee.ac.u	
	Public Interest Disclosure		k/governance/policies/	
	Act		(W)	
Staff development	Policies and procedures	Induction arrangements.	See	
	relating to the ongoing	Access to internal and	http://www.dundee.ac.uk	
	development of staff	external training	<u>/opd/</u> and	
		opportunities	http://www.dundee.ac.uk	
			/castle/	

Staff records	The institution's policy on	Policies and procedures	Staff information is	
	the collection,	covering the collection,	normally retained for 6	
	maintenance and use of	checking, maintenance	years following the	
	personal information	and disposal of data,	termination of the	
	about staff.	management of the staff	relationship with the	
		records system itself, and	University (as per JISC	
		allocation of	sectoral best practice –	
		responsibilities to staff.	see	
		Arrangements for the	https://www.dundee.ac.u	
		provision of data to	k/information-	
		HESA ³ , SF&HEFC ⁴ and	governance/rm/).	
		other bodies with	Legacy physical	
		statutory rights to data.	information is held and	
			disposed of by	
			Information Governance	
			under the authority of the	
			Director of Human	
			Resources	
			Strategic Planning	
			coordinates statistical	
			returns in conjunction	
			with Human Resources,	
			Student Services and	
			External Relations -	
			https://www.dundee.ac.u	
³ HESA - Higher Education Stati	stics Agency (http://www.hesa.a	c.uk/)	k/governance/strategic-	
-			4 <mark>planning/</mark>	

Staff facilities	Description of the	Institutions may wish to	See	
	facilities and services	cross-reference to	http://www.dundee.ac.uk	
	available exclusively to	section 18.17: External	/staff/	
	members of staff.	and Community		
		Relations: Community		
		relations for information		
		about facilities available		
		to both staff and the		
		wider community .		

8.10 Physical Resources

Introduction

Institutions are often substantial land and property owners in their own right. This category covers information at a strategic level relating to the institution's management of its physical resources. Some of this information is required to be published under the Environmental Information (Scotland) Regulations 2004.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Description of estate	Overview of the	Location, size, usage, and	See		
	institution's estate	condition of major	http://www.dundee.ac.uk		
		buildings.	<u>/estates/</u>		
		Listed buildings			

⁴ SF&HEFC – Scottish Further and Higher Education Funding Council (SFC)

Estate development plans	Plans for major changes	Proposals for significant	See	
	to the estate, including	reconfiguration of the	http://www.dundee.ac.uk	
	plans for use of major	estate in the near future	/estates/capitalprojects/	
	external capital funding	e.g. additions to/disposals		
		of major components of		
		estate		
Buildings under	Summary information		See	
construction	about buildings under		http://www.dundee.ac.uk	
	construction		/estates/capitalprojects/	
Maintenance	Maintenance	Long-term/programmed	See	
	arrangements and	maintenance	http://www.dundee.ac.uk	
	policies for buildings and	arrangements and	/estates/facilities/	
	grounds	schedules.		
		How to request repairs		
Estates indicators	Performance indicators		See	
	on major estates		http://www.dundee.ac.uk	
	functions		/estates/management/ser	
			vicelevel/	

Environmental policies	The institution's environmental policies, practices and overview of their impact	Energy consumption Recycling policies and arrangements Transport policies and arrangements Sustainability policies Information which is required to be published under EI(S)Rs	See http://www.dundee.ac.uk /estates/energy&environ ment/. Should the University be required to publish any information in compliance with the Town and Country Planning (Environmental Impact Assessment) (Scotland) Regulations 2017, it will be made available via http://www.dundee.ac.uk /estates/		
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8.11 Health and Safety

Introduction

This category covers information about the institution's health and safety policies, risk assessment policies, procedures and record.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Policies	Policies, procedures and	How the Institution	See		
	guidelines relating to	complies with Health and	http://www.dundee.ac.uk		
	health and safety	Safety Executive	<u>/safety/</u>		

		guidelines and targets. Risk assessment policies. Management structure and duties within health and safety department. Remit and membership of health and safety committee(s). First aid arrangements		
Annual Reports and	Reports to governing		See	
statistics	body on health and safety		http://www.dundee.ac.uk	
	issues		<u>/safety/</u>	
	Summary statistics on			
	accidents and incidents			
	within the institution			

8.12 Equality and Diversity

Introduction

This category provides information about the institution's policies and strategies regarding equality and diversity.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Equal opportunities	Equality and diversity	Policies, statements,	See		
policies and guidance	policies, statements,	procedures, guidelines,	http://www.dundee.ac.uk		
	procedures, and	and action plans relating	/hr/equality/.		
	guidelines	to the promotion, delivery			

		and assurance of equal	For information	
		opportunities with	concerning the Public	
		respect to those groups	Sector Equality Duty	
		for which the University	please see	
		has a legal requirement in	https://www.dundee.ac.u	
		relation to equality and	k/hr/equality/psed/. This	
		diversity	includes links to	
			mainstreaming reports	
			and board equality	
			reports (within the	
			Equality staff data report).	
Equal opportunities	Information about	Reports on the results of	See	
consultation	consultation procedures	consultation with	http://www.dundee.ac.uk	
	required for compliance	representatives of	/hr/equality/	
	with statutory equality	underrepresented groups		
	duties	in line with equality		
		legislation currently in		
		force, e.g. staff and		
		students from ethnic		
		minorities, with		
		disabilities, and those		
		underrepresented by		
		gender		
Equality management	Information on	Institutions may wish to	See	
infrastructure	committees and other	cross-reference with	http://www.dundee.ac.uk	

groups engaged in	category 3,	/hr/equality/	
determining equality and	"Governance", where		
diversity policies	appropriate		

8.13 Support for Disabled People

Introduction

This category provides information about the institution's policies, procedures, and support for disabled people, including information about accessibility of major buildings and services.

Category Name	Category Description	Examples/Comments	Manner	Fee	Withheld information
Disability policies	Policies, procedures and	Institutions may wish to	See		
	guidelines relating to	cross-reference with	http://www.dundee.ac.uk		
	support for disabled	category 12, "Equality and	/disabilityservices/		
	people	Diversity Policies"			
Support structures	A description of the	Cross-reference to	See		
	institution's support	"Accessibility of buildings	http://www.dundee.ac.uk		
	structures for disability	and services" under	/disabilityservices/		
	issues	category 10, "Physical			
		Resources"			
Accessibility of buildings	Information about	Institutions should	See		
and services	accessibility of each of the	provide broad	http://www.dundee.ac.uk		
	institution's main	information about	/estates/facilities/dda/		
	buildings and services	accessibility e.g. including	and		
		information for people	http://www.dundee.ac.uk		
		with hearing or vision	/disabilityservices/		

		impairments		
Contacts	Details of how to get	Where larger institutions	See	
	information about	operate decentralised	http://www.dundee.ac.uk	
	support for disabled	arrangements	/disabilityservices/	
	people	appropriate information		
		on other major points of		
		contact should be		
		provided.		
Strategies	The institution's		See	
	strategies for improving		http://www.dundee.ac.uk	
	support for disabled		/disabilityservices/	
	people, and mechanisms			
	for monitoring these			
Statistics	Summary statistics on	Statistics on the numbers	See	
	support for disability	of staff and students with	http://www.dundee.ac.uk	
	within the institution.	particular types of	/disabilityservices/	
		disability (though		
		recognising potential Data		
		Protection Act		
		implications).		

8.14 Student Administration & Support

Introduction

This category contains information on how the institution manages the administration and progression of their students from admission to course completion, including student support services.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Course information	Degree programmes	Prospectuses; structure	Institutions will normally	See	
	offered by the institution	and broad content of	already publish material	http://www.dundee.ac.uk	
		each programme,	in this category in	<u>/study/</u> and	
		including information	calendars, prospectuses	http://www.dundee.ac.uk	
		about work experience,	and course handbooks.	/admissions/	
		language skills, and			
		opportunities for			
		overseas study.			
		Qualification gained if			
		successful.			

Recruitment and	The institution's	Information on how to:	See
admissions	admissions procedures	obtain a prospectus;	http://www.dundee.ac.uk
	and policies	attend an open day; visit	/study/,
		the institution; apply for	http://www.dundee.ac.uk
		admission.	<u>/qf/</u> ,
		General/course-specific	http://www.dundee.ac.uk
		entry requirements, and	/study/ug/participation/
		"going rates".	and
		Policies and procedures	https://www.dundee.ac.u
		for dealing with	k/about/facts-and-
		applications, including	figures/studentnumbers/
		those covering the	
		assessment of external	
		qualifications, APL ⁵ and	
		APEL ⁶ , articulation with	
		FE ⁷ Colleges and special	
		circumstances.	
		Complaints procedures.	
		The institution's widening	
		participation policies.	
		Statistical information on	
		applications and	
		admissions (e.g. academic	
		background of	
		applicants.)	
APL: Accredited Prior Lea	arning		

Fees and charges	Tuition fees and other	Information on the	See	
	charges to students	institution's tuition fees	http://www.dundee.ac.uk	
		(for home/EU and	/study/scholarships-fees/	
		overseas students) and		
		any other costs to		
		students (identifying		
		whether these are		
		compulsory), including		
		information on when		
		payment must be made,		
		how payments can be		
		made, and whether		
		instalment options are		
		available.		
		Information about		
		arrangements for		
		determining		
		home/overseas fee		
		status, and on any		
		appeals mechanisms for		
		fee status decisions.		

⁶ APEL: Accredited Prior Experiential Learning ⁷ FE: Further Education

Scholarships and	Scholarships and	Lists of scholarships and	See	
bursaries	bursaries available to	bursaries including	http://www.dundee.ac.uk	
	students	information on amounts,	/study/scholarships-fees/	
		conditions, and		
		arrangements for		
		applications and decisions		
Registration	The institution's	Registry policies and	See	
	arrangements for	procedure documents	https://www.dundee.ac.u	
	registering students		k/welcome/	
Induction	The institution's student	Induction and welcoming	See	
	induction arrangements	arrangements/procedures	http://www.dundee.ac.uk	
			/welcome/	

Examinations	Arrangements for	Examination	See
	examinations	periods/timetables.	https://www.dundee.ac.u
		Examination procedures,	k/registry/exams/ and
		including oral	http://www.dundee.ac.uk
		examinations.	<u>/qf/</u>
		Examination regulations,	
		including policies and	
		practices on breaches of	
		regulations.	
		Appeals procedures.	
		Arrangements for	
		appointment of	
		Examination Boards and	
		External Examiners,	
		including names of	
		External Examiners	

Progression	Regulations governing	Regulations governing	See	
	student progression	access to honours	http://www.dundee.ac.uk	
		courses.	/governance/policies/poli	
		Regulations about	cy-taught-provision/ and	
		availability of resit	http://www.dundee.ac.uk	
		examinations.	/media/dundeewebsite/p	
		Regulations and practices	gla/documents/calendar/	
		governing changes of	Ordinances.pdf	
		degree programme.	Schools should be	
			contacted directly with	
			specific queries and	
			students should refer to	
			their programme	
			handbooks/regulations.	
Learning support	Description and	Information on: learning	See	
provision	availability of the	development and	http://www.dundee.ac.uk	
	academic and non-	support; personal	/academic-skills/	
	academic learning	development advice;		
	support provision offered	services for students with		
	by the institution.	special needs		

Student liaison	The structure and	Terms of Reference of	Student representation is	
	functioning meetings of	staff/student liaison	included on the	
	staff/student consultative	committee(s); minutes	committees of Court and	
	committees or other	and papers of	Senate as appropriate.	
	liaison groups	staff/student liaison	Please see	
		committee(s) meetings	http://www.dundee.ac.uk	
			/governance/governance/	
			and follow the links from	
			that page. See also	
			http://www.dundee.ac.uk	
			/qf/studentengagement/s	
			tudentrepresentation/	

Student records	The institution's policies	Policies and procedures	See	
	on the collection,	covering the collection,	https://www.dundee.ac.u	
	maintenance and use of	checking, maintenance	k/registry/student-	
	personal information	and disposal of data,	records/	
	about students	management of the	Physical student records	
		student records system	are normally held in	
		itself, and allocation of	School Offices and passed	
		responsibilities to staff.	to the custody of	
		Arrangements for the	Information Governance	
		provision of data to HESA,	for secure storage	
		SFC, and other bodies	following	
		with statutory rights to	graduation/withdrawal.	
		data	Strategic Planning	
			coordinates statistical	
			returns in conjunction	
			with Human Resources,	
			Student Services and	
			External Relations -	
			https://www.dundee.ac.u	
			k/governance/strategic-	
			planning/	

Student discipline	The institution's policies	Code of student discipline	See	
	and procedures for	and other policy and	http://www.dundee.ac.uk	
	disciplinary proceedings	procedure documents;	/governance/dca/	
	against students	internal and external		
		appeals procedures;		
		summary statistical		
		information on		
		disciplinary proceedings		
		and appeals		
Student accommodation	Availability, conditions of	Information about	See	
	use and range of	availability of	http://www.dundee.ac.uk	
	accommodation services	accommodation, prices,	/accommodation/	
	offered by the institution	applications processes,		
		letting arrangements,		
		lease conditions,		
		availability to the public in		
		vacations		

Graduation arrangements	Information about awards	Dates and details of	See	
	ceremonies	Ceremonies for the	http://www.dundee.ac.uk	
		current academic year.	/graduation/	
		Attendance and ticketing		
		information, Academic		
		Dress Information, costs		
		Information on		
		Photographs and Video		
		facilities		

Student complaints	Procedures for dealing	Information on how to	See
	with student complaints	register a complaint.	http://www.dundee.ac.uk
	about the institution	Procedural information	/governance/dca/
		on how complaints will be	
		dealt with. Procedural	
		information on any	
		internal and external	
		appeals mechanisms.	
		Where different	
		arrangements apply to	
		different types of	
		complaints (e.g.	
		accommodation, learning	
		support, examinations)	
		institutions should	
		provide information	
		about all of these.	
		Summary statistical	
		information on	
		complaints and outcomes.	

Student facilities	Description of the	Information about	See	
	academic, leisure and	entitlement to use	http://www.dundee.ac.uk	
	other facilities and	facilities, facilities	<u>/students</u>	
	services available	available, charges, etc.		
	exclusively to students	Include:		
		Medical support services		
		provided by the		
		institution for students		
		welfare, counselling and		
		other advisory services,		
		and information about		
		any hardship funds		
		available in the institution		
		Chaplaincy services,		
		including support for staff		
		and students belonging to		
		different denominations		
		and faiths		
		Availability, conditions of		
		use and range of services		
		offered by the		
		institution's careers		

service, including	
opening hours and	
location, and independent	
reviews of service quality	
Institutions may wish to	
cross-reference to section	
18.17: External and	
Community Relations:	
Community relations for	
information about	
facilities available to both	
students and the wider	
community.	

Relationship with the	The legal and structural	Agreements, protocols,	See	
Students Union/	basis of the institution's	etc governing the	http://www.dundee.ac.uk	
Association	relationships with the	institution's relationship	/qf/studentengagement/	
	Students	with the Union.	dusa-universitymou/	
	Union/Association	Details of Union		
		representation on		
		institutional committees		
		etc, and institutional		
		representation on Union		
		boards or committees.		
		Funding provided to the		
		Union.		
Students Union/	Information on the	Constitution, Code of	Dundee University	
Association ⁸	operation and activities of	Practice, List of Officers	Students Association is a	
	the Students	and any other related	separate body from the	
	Union/Association	documents about the	University of Dundee. For	
		Students	more information on	
		Union/Association	DUSA see	
			http://www.dusa.co.uk/a	
			bout-us/.	

⁸ Required only in cases where the institution has any legal responsibility or liability for ensuring that Students Unions (and similar Associations and organisations) are properly run.

8.15 Teaching Quality

Introduction

This category contains information regarding the management of teaching quality in the institution including mechanisms for reviewing and ensuring the quality of teaching provided.⁹

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Programme approval	Programme approval and	Programme	See		
	monitoring arrangements	specifications.	http://www.dundee.ac.uk		
		Statement of the	<u>/qf/</u>		
		respective roles,			
		responsibilities and			
		authority of different			
		bodies within the			
		institution involved in			
		programme approval and			
		review.			
		Key outcomes of			
		programme approval, and			
		annual monitoring and			
		review processes.			
		Periodic reports of			
		departmental major			
		programme reviews, and			
		summaries of action			
		taken.			

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⁹ Information which institutions are required to make available by their Funding Council or by a regulatory body is information included in the 'Government and Regulator Relations' category (8.18).

Assessment	Assessment procedures	Assessment strategies,	See	
	and summaries of reviews	processes and	http://www.dundee.ac.uk	
	of their effectiveness	procedures.	<u>/qf/</u>	
		Description of the range		
		and nature of student		
		work.		
		Reports of periodic		
		reviews of the		
		appropriateness of		
		assessment methods		
		used.		

Student satisfaction	Summary results of any	Student perceptions of:	See	
	institutional mechanisms	Arrangements for	https://www.dundee.ac.u	
	for measuring student	academic and tutorial	k/about/our-rankings/	
	satisfaction with their HE	guidance, support and		
	experience	supervision.		
		Library services and IT		
		support.		
		Suitability of		
		accommodation,		
		equipment and facilities		
		for teaching and learning.		
		Quality of teaching and		
		the range of teaching and		
		learning methods.		
		Assessment		
		arrangements.		
		Quality of pastoral		
		support.		
		Survey results, e.g the		
		National Student Survey		
		and Postgraduate		
		Research Experience		
		Survey		

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Institutional internal	Summary of the findings	Range of teaching	See	
reviews	of the institution's own	methods used.	http://www.dundee.ac.uk	
	internal reviews of quality	Availability and use of	/qf/qualityassurance/lten	
	and standards	specialist equipment,	hancementreports/ and	
		other resources and	the minutes and papers of	
		materials to support	Learning and Teaching	
		teaching and learning.	Committee -	
		Staff access to	http://www.dundee.ac.uk	
		professional	/governance/governance/	
		development.	senate/senate-	
		Peer observation and	committees/learning-	
		mentoring programmes.	teaching/	
		Use of external		
		benchmarking and other		
		comparators, both home		
		and overseas.		
		Involvement of external		
		peers in the review		
		method, their		
		observations, and the		
		action taken in response.		
Professional accreditation	The nature of and	Accreditation of degrees	See	
of courses by external	duration of accreditation	such as medicine, nursing,	http://www.dundee.ac.uk	
bodies	by professional, statutory	engineering, accountancy,	/qf/archive/listingofprogr	
	or regulatory bodies,	social work etc	<u>ammereviewandaccredita</u>	
	including accreditation		tionacti/	
	and monitoring reports.			
	<u> </u>	L		

Validation	A description of courses	List of courses,	See	
	where the institution acts	description of validation	http://www.dundee.ac.uk	
	as an external	procedures, and statistical	/qf/qualityassurance/teac	
	examination body or	information on student	hingcollaborations/	
	validates the	outcomes		
	examinations and			
	qualifications of others,			
	including 'joint awards'.			
Assessments of the	QAA ¹⁰ reports	Individual QAA reports	See	
institution's provision by			https://www.dundee.ac.u	
the QAA			k/qf/quality-and-	
			academic-standards/elir/	

8.16 Information Services

Introduction

This category covers those functions within the institution that provide access to information for the student body and both academic and administrative staff. These include libraries, computing services, archive services, and information support services.

Such functions may be managed separately from each other, or in various combinations. These services routinely explain their facilities (and the conditions of their use) to students, staff and the general public and it is information of this nature that is included within this category.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Library facilities	Availability and conditions	Information about who	See		
	of use of library facilities	can access systems and	http://www.dundee.ac.uk		
		services and the facilities	<u>/library/</u>		
		that they can access.			
		Opening hours of			

¹⁰ QAA: Quality Assurance Agency

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	libraries.		
	General rules and		
	conditions of use (e.g. on		
	smoking, drinking, eating,		
	use of mobile phones;		
	policies with regard to law		
	such as copyright; use of		
	national/external		
	services.)		

Computing facilities	Availability and conditions	Information about who	See	
	of use of computing	can access systems and	http://www.dundee.ac.uk	
	facilities	services and the facilities	<u>/it/</u>	
		that they can access.		
		Opening hours of		
		microlabs.		
		General rules and		
		conditions of use (e.g. on		
		smoking, drinking, eating,		
		use of mobile phones;		
		policies with regard to law		
		such as copyright; mail		
		spamming/ bombing)		
		Computing code of		
		practice.		
		Data protection rules.		
		Use of national/external		
		services.		
		Details of logging,		
		monitoring and		
		procedures followed in		
		case of breach of		
		conditions of use should		
		also be included here.		
Other information	Availability and conditions		See	
facilities	of use of facilities		http://www.dundee.ac.uk	
			/cultureandinformation	
	l			

Major strategy	High-level aims and	Aims of each department	Please follow the links to	
documents	strategies of information	in context of its place in	specific Directorates and	
	services units	the organisation, a	units from this page	
		Description of the service	https://www.dundee.ac.u	
		provided and, where	k/main/services/	
		appropriate, service level		
		agreements.		
Collections	Scope of major collections	Guides and catalogues to	See	Material referenced by
	held by the institution	collections, including the	http://www.dundee.ac.uk	catalogues will generally
		library public access	/library/,	be exempted as
		catalogue.	http://www.dundee.ac.uk	'otherwise accessible'
		Access arrangements,	<u>/archives/</u> and	under s.25 of the Act,
		including charges	http://www.dundee.ac.uk	since it is available in
			/museum/	terms of the 'Library
				Facilities' Category above

Collection strategy	Collection management	Collection management	See	
	and preservation	and preservation	http://www.dundee.ac.uk	
	strategies	strategies, including	/library/resources/stockre	
		policy on disposal of stock	tention/,	
			http://www.dundee.ac.uk	
			/museum/visitor-	
			information/acdis/ and	
			https://www.dundee.ac.u	
			k/media/dundeewebsite/	
			archives/documents/Arch	
			ive%20Services%20Missio	
			n%20and%20Collecting%	
			20Policy%20July%202016.	
			pdf	

Open archives	Information about	This category includes	See	Material referenced by
	records held by the	information about all	http://www.dundee.ac.uk	catalogues will generally
	institution's archive	third party archive	/archives/	be exempted as
	facilities for permanent	material gifted to the		'otherwise accessible'
	preservation and	institution for permanent		under s.25 of the Act,
	designated as open	preservation and which is		since it is available in
	irrespective of the date of	available for general		terms of the 'Library
	creation.	access.		Facilities' Category above
		Individual schools or		
		departments within the		
		institution may have local		
		archive collections to		
		support administrative or		
		research functions.		
		The date of adoption of		
		the MPS is irrelevant to		
		this category.		
		Guides and catalogues to		
		open archives		
		Access arrangements,		
		including charges		
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ante ante a la caralata a				Material referenced by
stitution's archive	information about both	http://www.dundee.ac.uk		catalogues will generally
cilities and special	archival material relating	/archives/		be exempted as
llections	to the institution's			'otherwise accessible'
	business and third party			under s.25 of the Act,
	archive material gifted to			since it is available in
	the institution for			terms of the 'Library
	permanent preservation			Facilities' Category above
	upon which access			
	restrictions have been			
	placed by the donor.			
	Archival catalogues			
	(manual or on-line) or			
	other material describing			
	archival collections.			
	Access to/use of archives,			
	including charges			
	Individual schools or			
	departments within the			
	institution may have local			
	special collections.			
	Guides and catalogues to			
	other archive facilities			
	and special collections.			
	Access arrangements,			
	including charges			
		business and third party archive material gifted to the institution for permanent preservation upon which access restrictions have been placed by the donor. Archival catalogues (manual or on-line) or other material describing archival collections. Access to/use of archives, including charges Individual schools or departments within the institution may have local special collections. Guides and catalogues to other archive facilities and special collections. Access arrangements,	business and third party archive material gifted to the institution for permanent preservation upon which access restrictions have been placed by the donor. Archival catalogues (manual or on-line) or other material describing archival collections. Access to/use of archives, including charges Individual schools or departments within the institution may have local special collections. Guides and catalogues to other archive facilities and special collections. Access arrangements,	business and third party archive material gifted to the institution for permanent preservation upon which access restrictions have been placed by the donor. Archival catalogues (manual or on-line) or other material describing archival collections. Access to/use of archives, including charges Individual schools or departments within the institution may have local special collections. Guides and catalogues to other archive facilities and special collections. Access arrangements,

8.17 External and Community Relations

Introduction

This category covers information relating to the institution's relationship with its external environment. These include how it manages its relationship with the local community and how it retains contact with its former staff and students. By virtue of its nature, most institutions will probably find that the majority of these categories are already made available to the public by some means.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Alumni	Arrangements for keeping	Constitution of alumni	See		
	in touch with former staff	organisations.	http://www.dundee.ac.uk		
	and students	Services provided to	/alumni/		
		alumni.			
Community Relations	Description of the		Many of the University's		
	facilities and services		events and facilities are		
	available to the local		available to the local		
	community		community. Examples		
			include		
			http://www.dundee.ac.uk		
			/events/,		
			http://www.dundee.ac.uk		
			/library/services/accessan		
			dmembership/,		
			https://www.dundee.ac.u		
			k/ise/membership-		
			pricing/,		

			http://www.dundee.ac.uk /museum/visitor- information/ and http://www.dundee.ac.uk /archives/faq.htm	
Development activities	Promotional material relating to institutional fundraising objectives	Plans, prospectus	See http://www.dundee.ac.uk /alumni/donate/	
Public Relations	Information created specifically to publicise facilities and activities.	Press releases Newsletters and magazines	See http://www.dundee.ac.uk /news/	

8.18 Government and Regulator Relations

Introduction

This category covers information the institution provides to government and external regulators and information provided to the Scottish Funding Council for monitoring purposes. By virtue of its nature most institutions will probably find that the majority of these categories are already made available to the public by some means.

Members of the public are also likely to find the same or related information is available from the external partners the institution has links with.

Category Name	Category Description	Examples/Comments	Format of information	Fee	Withheld information
Funding body statistical	Information that the	SFC statistical returns.	For information on the		
reports and returns	institution is legally		SFC please see		
	obliged to make available		http://www.sfc.ac.uk/.		
	to its funding body		Where you require		

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			specific information	
			please contact the	
			Director of Strategic	
			Planning -	
			https://www.dundee.ac.u	
			k/governance/strategic-	
			planning/.	
Other statutory reports	Information which the	Information about the	Please contact the	
	University is legally	basis of reports will be	Director of Strategic	
	required to publish	included in their	Planning -	
		introduction.	https://www.dundee.ac.u	
			k/governance/strategic-	
			planning/.	
Information on student	Statistical information on	Information on:	For information provided	S.25 for HESA data.
admission, progression	these matters which the	Student qualifications on	to HESA please see	
and completion	institution is required by	entry;	https://www.hesa.ac.uk/s	
	the Funding Council to	The range of student	ervices/custom/data.	
	publish	entrants classified by age,	HESA can provide	
		sex, ethnicity, socio-	extensive information via	
		economic background,	their Custom Data	
		disability and	Service.	
		geographical origin as	Where you require	
		returned to HESA (cross-	specific information	
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T	reference with satesam:	places contact the	
	reference with category	please contact the	
	12.14, "Student	Director of Strategic	
	Administration and	Planning -	
	Support);	https://www.dundee.ac.u	
	Student progress and	k/governance/strategic-	
	retention data for each	planning/.	
	year of each		
	course/programme,		
	differentiating between		
	failure and withdrawal;		
	Data on student		
	completion;		
	Data on qualifications		
	awarded to students;		
	Data on		
	employment/training		
	outcomes for graduates		
	from the First Destination		
	Study (FDS).		
	Institutions may also want		
	to publish their own		
	comments on this.		